



Solomon JFZ (Asia) Holdings Limited 華贏東方 (亞洲) 控股有限公司

有關虛擬資產交易之附加協議

ADDITIONAL AGREEMENT IN RESPECT OF TRANSACTION RELATING TO VIRTUAL ASSETS

日期 Date: _____

本虛擬資產交易帳戶附加協議(以下簡稱“本協議”)是於 20__年__月__日由列下列雙方所簽訂：
THIS ADDITIONAL AGREEMENT IN RESPECT OF TRANSACTION RELATING TO VIRTUAL ASSETS
(“Agreement”) is made on _____[DATE] between:

客戶姓名: _____ 客戶賬號: _____

通訊地址: _____

(以下簡稱“本人/吾等”) ; 及

Client Name: _____ Client Number: _____

Correspondence Address: _____

(hereinafter called “I/We” or “My/Our” or “Me/Us”); and

華贏東方 (亞洲) 控股有限公司，為證監會註冊機構，證監註冊編號：BIF175，其香港辦事處為香港九龍尖沙咀廣東道33號中港城3座1910 - 1912A室，經營類別 1 (證券交易)、類別 4 (就證券提供意見)、類別 6(就機構融資提供意見)及類別 9 (提供資產管理) 受規管活動業務(以下簡稱“華贏證券”)。

Solomon JFZ (Asia) Holdings Limited, a licensed corporation of Securities and Futures Commission with central entity number: BIF175, incorporated in Hong Kong, operating RA1 (Dealing in Securities), RA4 (Advising on Securities) , RA6 (Advising on Corporate Finance) and RA9 (Asset Management) business, and having its registered office at Room 1910-12A, Tower 3, China Hong Kong City 33 Canton Road, Tsim Sha Tsui, Hong Kong (hereinafter called “Solomon”).

就華贏證券根據本人/吾等之指令(“指令”)所作虛擬資產交易(“交易”)，本人/吾等謹此同意如下：-

In consideration of Solomon entering into transactions relating to virtual assets (“Transactions”) in accordance with My/Our instruction (“Instruction”), I/We hereby agree that:-

- (1) 以本人/吾等姓名在華贏證券開立虛擬資產交易帳戶並在綜合帳戶安排下虛擬資產交易；
- (1) I/We open a virtual asset (“VA”) trading account with Solomon in My/Our name for the purpose of VA dealing under an omnibus account arrangement;
- (2) 所有由華贏證券及其代理經紀於其任何戶口所進行的該等交易將須受到有關市場、交易所、結算所或司法區所不時修訂的法律、規例、憲章、附例、規則、習慣、用法、裁定、詮釋及交易征費所約束及如情況許可下將預先通知本人/吾等；
- (2) All Transactions carried out by Solomon and its agents/brokers in any of its accounts will be subject to the laws, regulations, constitution, by-laws, rules, customs and transaction levies of relevant market, exchange, clearing house or jurisdiction as amended from time to time in accordance with the terms of Agreement and I/We will be notified in advance if the circumstances permit;



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有關虛擬資產交易之附加協議(續)

ADDITIONAL AGREEMENT IN RESPECT OF TRANSACTION RELATING TO VIRTUAL ASSETS (CONTINUED)

- (3) 本人/吾等將受有關交易的規格、章程內之條款與條件及/或其他要約文件(“文件”)之約束，本人/吾等不會傳遞此等文件予任何人；
- (3) I/We shall be bound by the terms and conditions of all specifications, offering, circulars, information memorandums and/or other documents (“Documents”) covering such Transactions and I/We shall not pass the Documents to any other person;
- (4) 本人/吾等確保其取得適用於任何交易之任何政府或其他監管機構或當局之一切所需授權、批准及同意，並且遵從有關條款及該等機構及當局之一切適用規例；
- (4) I/We ensure that I/We have obtained all required authorizations, approvals and consents from any government or other regulatory body or authority applicable to any Transactions and complied with the relevant terms and all applicable regulations of such body and authority;
- (5) 本人/吾等在進行任何相關虛擬資產交易活動時，假如華贏證券向本人/吾等招攬銷售或建議任何虛擬資產產品，該產品必須是華贏證券經考慮本人/吾等的財政狀況、投資經驗及投資目標後而認為合理地適合本人/吾等的。本協議的其他條文或任何其他華贏證券可能要求本人/吾等簽署的文件及華贏證券可能要求本人/吾等作出的聲明概不會減損本條款的效力；
- (5) When I/We conduct any relevant VA trading activities, if Solomon solicits the sale or recommends any VA product to Me/Us, the product must be in subsequent to considering My/Our financial situation, investment experience and investment objectives and consider that it is reasonably suitable for Me/Us. None of the other provisions of the Agreement or any other document that Solomon may require Me/Us to sign and any declaration that Solomon may require Me/Us to make, shall not derogate from the validity of these terms;
- (6) 本人/吾等須向華贏證券繳付不時釐定及知會本人/吾等之交易所就合約訂明之佣金及交易費用，以及華贏證券附加收費，包括但不限於任何報酬、利息、銀行費用、代理人及托管費用，以及華贏證券將收取依照本協議與任何交易有關之所有由華贏證券向本人/吾等收取之佣金及費用單張；
- (6) I/We shall pay to Solomon the commissions and transaction fees stipulated in the contract by the exchange that determines and informs Me/Us from time to time, as well as additional charges of Solomon, including but not limited to any remuneration, interest, bank charges, agency and custody fees, and Solomon will receive all commissions and fees charged by Solomon to Me/Us in connection with any Transactions in accordance with the Agreement;
- (7) 本人/吾等以主事人身份購入虛擬資產，並不是任何人士之代理人；
- (7) I/We shall purchase the VA as principal and not a nominee for any person;
- (8) 本人/吾等已進行細心分析及調查，並作出獨立決定進行有關交易；
- (8) I/We have performed My/Our own due diligence and investigation and I/we have made My/Our own independent decision to enter into the relevant Transactions;



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ADDITIONAL AGREEMENT IN RESPECT OF TRANSACTION RELATING TO VIRTUAL ASSETS (CONTINUED)

- (9) 華贏證券並不負責檢查、核證或確定有關指令及/或交易之合法性、恰當性及適合性；
- (9) Solomon shall not be responsible for checking, verifying or confirming the legality, suitability and appropriateness of any Instruction and/or Transactions;

- (10) 華贏證券可全權決定拒絕代客戶達成任何交易或訂立任何合約，而無須給予任何理由；
- (10) Solomon may at its sole discretion refuse to enter into any Transactions or enter into any contract on behalf of the client without giving any reason;

- (11) 本人/吾等已根據本人/吾等自行之判斷及獨立專業意見對有關交易之合法性、恰當性及適合性作出評估；
- (11) I/We have based myself/ourselves upon My/Our own judgment and upon advice from such independent professional advisers as I/we deem necessary in considering the legality, suitability and appropriateness of the Transactions;

- (12) 本人/吾等並無倚賴任何華贏證券之言論或建議，華贏證券及其僱員、代理人或代表毋須就其發表之言論或建議負責；
- (12) I/We have not relied on any representation or advice by Solomon and neither Solomon nor its employees, agents or representatives shall have any liability in respect of the same if expressed at all;

- (13) 在虛擬資產發行人/托管人/交易所無力償付債務或破產時，本人/吾等可能不能收回所支付購買虛擬資產之款項；
- (13) The amount I/we paid for the VA may not be recoverable in the event an issuer/custodian/exchange is insolvent or in bankruptcy;

- (14) 本人/吾等明確同意免除華贏證券一切因應交易而產生之債務、索償、成本費用(包括法律費用)、訴訟或損失，除了因華贏證券的詐欺、疏忽或故意不當行為造成的損害。為免產生疑問，華贏證券毋須負責任何虛擬資產發行人/托管人/交易所之付款失責行為；
- (14) I/We hereby expressly agree to exclude Solomon from all liabilities, claims, damages, costs (including legal cost), proceedings or damages arising out of the transaction except for those damages arising out of Solomon's fraud, negligence or willful misconduct. For the avoidance of doubt, Solomon shall not be liable for any default in payment by the issuer/custodian/exchange of the VA;

- (15) 本人/吾等同意全數彌償及使華贏證券及其主管、僱員及代理人就其直接受本人/吾等之指令及其行事而引起之一切直接或間接損失、索償、成本費用(包括法律費用)、訴訟或債務不須負上任何責任，除了因華贏證券的詐欺、疏忽或故意不當行為造成的損害；



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ADDITIONAL AGREEMENT IN RESPECT OF TRANSACTION RELATING TO VIRTUAL ASSETS (CONTINUED)

- (15) I/We agree to fully indemnify and keep harmless Solomon and its officers, employees and agents against any loss, claim, damage, cost (including legal cost), proceedings or liability which any of them may incur or suffer directly or indirectly pursuant to or in connection with your accepting My/Our Instruction and acting thereon except for those damages arising out of Solomon's fraud, negligence or willful misconduct;
- (16) 華贏證券毋須因行使有關在交易上令華贏證券之任何或全部權利或採取或不採取行動(包括任何法律行動或訴訟)而負上任何責任，除了因華贏證券的詐欺、疏忽或故意不當行為造成的損害；
- (16) Solomon shall incur no liability whatsoever in exercising any or all of Solomon's right or for taking or not taking any action (including any legal action or proceeding) in connection with any Transactions except for those damages arising out of Solomon's fraud, negligence or willful misconduct;
- (17) 一旦由本人/吾等/華贏證券遵照該等條款之規定向對方發出書面通知，本人/吾等/華贏證券可即時結束該賬戶。惟華贏證券在收到此書面結束通知前已依據本人/吾等之指示而執行之事務，仍然有效及對本人/吾等或本人/吾等的遺產代理人或本人/吾等的權益承繼人有約束力；
- (17) The Account may be closed by Solomon or by Me/Us at any time and for any reason forthwith upon written notice being given in accordance with the Terms and Conditions to the other provided that all acts performed by Solomon in accordance with My/Our instructions prior to Solomon receiving written notice of such termination shall be valid and binding on Me/Us and My/Our personal representatives or successors in title;
- (18) 虛擬資產交易服務僅向本人/吾等提供時，本人/吾等須同時一直都是華贏證券第 1 類受監管活動(證券交易)業務的客戶，這意味著如果本人/吾等的證券賬戶被關閉，本人/吾等的虛擬資產交易賬戶也將一併被關閉；
- (18) VA dealing services shall only be provided to Me/Us that shall be, and remain at all times, the client in respect of Solomon's business in Type 1 regulated activity (dealing in securities), which means My/Our VA account will also be closed if My/Our securities account is closed;
- (19) 本協議將約束本人/吾等及本人/吾等之繼承人，無論此等人士是否發出有關指令；
- (19) This Agreement shall be binding and enure to the benefit of My/Our successors, whether or not such person has initiated the Instruction;
- (20) 除非及直至華贏證券收受本人/吾等親筆簽署之終止協議通知書，並有合理充足時間處理，否則本協議之條款仍然有效。在本協議失效前，華贏證券按本協議之條款作出之任何行動，本人/吾等均須負責；及
- (20) The terms of this Agreement shall remain in full force and effect unless and until you receive, and have a reasonable time to act on, a notice of termination in writing duly signed by Me/Us, save that such termination will not release Me/Us or any of us from any liability under the terms of this Agreement in respect of any act performed by you pursuant to this Agreement before the expiry of such time; and



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ADDITIONAL AGREEMENT IN RESPECT OF TRANSACTION RELATING TO VIRTUAL ASSETS (CONTINUED)

- (21) 本協議須受中華人民共和國香港特別行政區之法律規管及以之執行。
- (21) This Agreement shall be construed and governed by the laws of Hong Kong Special Administrative Region of The People's Republic of China.
- (22) 本人/吾等(客戶)茲聲明，當本人/吾等(客戶)於下列簽署欄內簽署後，即表示本人/吾等(客戶)已完全細閱、確認、同意、接受及明白該等條款(包括本附加協議內所有內容、《虛擬資產客戶協議書》及《風險披露聲明》內的所有條款)。關於上述所有內容和細則，本人/吾等(客戶)已尋求獨立法律意見，並明白所有內容和細則及沒有任何疑問。
- (22) I/We (the Client) hereby declare that after signing in the signature column herein below by myself (the Client), indicated that I/We (the Client) have already fully read, confirmed, agreed, accepted and understood All Those Terms (including all the relevant contents and provisions of this Additional Agreement, the Terms and Conditions for "Client Agreement for Virtual Assets", "Risk Disclosure Statements"), I/We (the Client) have already sought independent legal advice and have also understood all the contents and provisions and have no questions to ask.

Percentage of Virtual Asset Investment 虛擬資產投資佔比

Q1. 您有多少流動資產(港元) (不包括您擁有的房地產物業及自營生意及您的所有借貸總額) 可分配進行投資?

How much liquid assets (HKD) (not including properties, self-employed business and excluding your liabilities) can be used for investment?

HK\$ _____

Q2. 除了華贏證券之外，您在外部交易所還持有其他虛擬資產嗎？

Do you have other virtual assets holdings on external exchanges other than at Solomon?

☐ 沒有 No

☐ 有, 其他虛擬資產佔您擁有的流動資產比例: _____ % (若佔比超過30%不允許開立虛擬資產賬戶)

Yes, the percentage of other virtual assets against your liquid assets: _____ %

(If the allocation exceeds 30%, opening a Virtual Assets Account is not permitted)

Primary Account Holder

主要賬戶持有人

客戶簽署

Signature of Client _____

姓名

Name _____

簽署日期

Sign Date _____

Joint Account Holder

聯名賬戶持有人

客戶簽署

Signature of Client _____

姓名

Name _____

簽署日期

Sign Date _____



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ADDITIONAL AGREEMENT IN RESPECT OF TRANSACTION RELATING TO VIRTUAL ASSETS (CONTINUED)

風險聲明 RISK DISCLOSURE

Declaration by Staff of Solomon JFZ (Asia) Holdings Limited 華贏東方(亞洲)控股有限公司職員聲明

I, a licensed or registered person, have confirmed that I have 本人，以持牌人或註冊人身份，特此確認本人已：

1. 客戶已通過 (1) 虛擬資產知識及經驗問卷 及已完成 (2) 風險承受能力問卷。經審慎評估後，該客戶適合買賣虛擬資產；及

Client has passed the (1) Virtual Assets Knowledge and Experience Questionnaire and has completed the (2) Risk Profile Questionnaire. After prudent consideration, the client is deemed suitable to trade virtual assets.; and

本人已邀請及建議客戶細閱《虛擬資產客戶協議書》及《風險披露聲明》，及就其內容和細則提出問題及尋求獨立法律意見(如客戶有此意願)

I have invited and suggested the Client to read the “Client Agreement for Virtual Assets” and “Risk Disclosure Statement”, and ask questions and to seek independent legal advice if the Client wishes.

職員/正式授權代表

Staff/ Duly authorized representative

姓名

簽署

Name

Signature

職位及中央編號

簽署日期

Position and CE No.

Sign Date

APPROVED AND ACCEPTED SOLOMON 華贏批核及接納

Signature of Approving Officer
批核主任簽署

Name of Approving Officer
批核主任名稱

Approval Date
批核日期

For Office use only

VA Cash Account

Client completed Client Risk Profile Questionnaire

Yes / No

Client passed VA Knowledge Test

Yes / No

Approval for AC Opening

Yes / No

Commission rate (%)

Interest rate (%) USD

Remarks: